www.thelegacywealthmanagement.com

The Benefits of Working With an Investment Advisor

What We Deliver as an Investment Advisor

Whether we are helping you plan for your retirement or save for your child's education, YOUR objectives are OUR objectives:

- As an Investment Advisor, it is our fiduciary responsibility to act in your best interest—we are legally bound to put your clients' interests first
- We will take the time to understand you and your specific financial situation
- We will strive to ensure your needs are being met through a personal relationship and committed pursuit of your investment goals
- We will make portfolio recommendations consistent with your objectives

Personalized, Focused Financial Advice

Our compensation for asset management services is aligned with your goals:

- We charge for our services based on a percentage of your assets managed - It is important to us that your investments continue to grow
- Where a commission may be involved, we will disclose how we are compensated so you can give consent on those financial products

Should Ask Yourself:

1. **What am I looking for - Assistance with buying/selling individual securities, investment advice, or both?**
2. **How do I want to pay my financial professional? Fees? Commissions?**
3. **Do I want to take a longer-term, holistic approach to management of my investments or make it more transaction orientated?**
4. **How important is it that my financial professional have a fiduciary responsibility to put my interests first?**

CONTACT US

101 N Tryon Suite 112
Charlotte NC 28246
P: (980) 494-4417
E: c.banks@iamswm.com